Date: November 11, 2014

To: Yale Faculty

Cc: Department Chairs and Administrators

From: Robert Sherwin, Director of YCCI

Re: Nominations of junior faculty members for YCCI Clinical and Community Research Scholar Awards

We are pleased to announce a request for applications for YCCI Clinical and Community-Based Research Scholar Awards, which will provide salary and/or research funds to a select number of junior faculty members who are strongly committed to careers in clinical and/or community-based research. Individuals must be nominated by a department chair, division head/section chief, or center/program director. Awards will be for a total of 2 years. Funds can be requested to cover up to 75% of an individual’s salary (with a maximum of $100,000 per year including fringe benefits) and up to $20,000 of research support per year for a research project. Applicants who already have a career development award or a substantial start-up package from a Department may submit an application for up to $20,000 in research support per year, but this must be for a separate (though possibly related) project from the one supported by the career development award/start-up package. Applications are being accepted for clinical research projects (with preference toward late phase clinical research), community related projects and patient centered research projects. Since the application process will not include a letter of intent if you have any questions about eligibility as an applicant or about your research project please contact Nicholas Licht (Nicholas.Licht@yale.edu) before submitting a full application.

Key Dates:
Due Date for Letters of Intent: December 12, 2014 at 5:00 PM
Due date for applications: February 9, 2015 at 5:00 PM
Earliest anticipated start date: March 15, 2015

Letter of Intent
A letter of intent is required and is due by 5:00 PM on December 12, 2014. LOI must be submitted online, please visit http://ycci.yale.edu/ for information on how to submit an application. Please be sure to include a complete list of current grants and pending applications on the biosketch.

Applications
Full applications must be submitted by 5:00 PM on February 9, 2015. Please refer to the application packet for detailed instructions. Copies of the application packet (in MS Word) can be downloaded from http://ycci.yale.edu/. Applications must be submitted online, please visit http://ycci.yale.edu/ for information on how to submit an application.

Requirements:
- Strong preference will be given to applicants who have a full-time Yale faculty appointment at the level of Assistant Professor at the time of the award. Instructors or Associate Research Scientists must demonstrate they have a strong commitment from their department with a plan in place for promotion to Assistant Professor. The planned appointment as Assistant Professor must not be contingent upon receipt of an award.
- The applicant must have been a faculty member for no more than 5 years by the anticipated start date of March 15, 2015. The 5-year period is cumulative and includes all appointments as assistant professor, associate research scientist, lecturer, and instructor at any institution (any part time appointments and leaves of absence should be described and will be considered in calculating the total time at a faculty rank).
• Applicants must have a clearly documented career path to becoming an independent investigator.
• Applicants cannot have been a principal investigator or co-principal investigator on any NIH or non-NIH research grant that provides more than $100,000 in direct costs per year except for career development awards and NIH R03 grants. PI's of K99/R00 grants may not apply if the R00 portion starts before March 15, 2015.
• Foreign subcontracts are unallowable under this mechanism, and research at foreign sites will require approval by NCATS prior to an award.
• Department Chairs/Section Chiefs should provide evidence of a strong commitment to these applicants in their support letters.

Salary support:
• In general, applicants may request as much as 75% salary support up to a maximum of $100,000 (including fringe benefits) per year.
• However, applicants who currently or previously were awarded a K or other career development award are typically not eligible for salary support from this award.
• NIH policy stipulates that applicants for career development awards (K) may not apply for a similar federally-sponsored mentored K award (K08, K23, etc.) simultaneously or have such an application pending. Therefore, a candidate who has applied for a K award but has not received a score or summary statement is ineligible to submit an application until the funding status of that K application is known and the applicant can document it in writing.
• Applicants who have start up packages generally are not eligible to receive salary support and cost-sharing may be required if salary support is awarded.
• Recipients cannot receive salary support from other federal funds.
• Departments will need to provide 50% cost share for an applicant who is not a U.S. citizens or non-citizen nationals, or who have not lawfully been admitted to the U.S. for permanent residence at the time an award is made.

Effort:
• Applicants must be prepared to commit 75% professional effort to their research regardless of the amount of salary support that they receive. Exceptions to this requirement are made for surgeons who can justify the need for extra time to maintain their surgical skills; surgeons must commit at least 50% professional effort to their research.
• Any other salary support that applicants receive cannot come from federal funds per NIH rules.

Research support
• Applicants may request up to $20,000 of research support per year.
• Applicants with career development awards at the time of application are not eligible for salary support, but they may request up to $20,000 in research support per year. If so, they must devote 75% professional effort to research and the project must be distinct from (although possibly related to) the one supported by the career development award.
• Cost-sharing will generally be expected for recipients with start-up packages.

Additional Terms of the Award:
• After a candidate is appointed as a YCCI Scholar, he/she may apply for an individual K award. If such an application is successful, he/she will generally be required to give up YCCI salary support, thereby opening the slot for another appropriate candidate. In rare instances it may be possible to keep the YCCI research support, provided that funds are available and the project is distinct from that supported by the individual K award. Either way, the individual’s full commitment as a YCCI scholar will remain until the end of the original term.
• Recipients must be prepared to start the award on time, which means that all required approvals (IRB, IACUC, etc.) must be obtained by March 15, 2015.
• The second year of the award is contingent upon satisfactory progress during the first year.
• Recipients whose work is a significant departure from their previous studies will be required to take additional didactic training.
• Recipients who do not already have a Master’s or PhD degree will be required to pursue training leading to a Master in Health Sciences degree at Yale.
• Recipients will be required to complete training in the responsible conduct of research or to show documentation of completion of such training.

**Institutional Cost Sharing:**
Cost-sharing will be requested from departments, sections, or programs with available funds. While not required, cost-sharing may result in an overall increase in the number of funded scholars.

As stated in *Voluntary Institutional Support Guidelines: Clinical and Translational Science Award support for KL2 scholars*, RFA-RM-09-004, an institution may supplement no more than 50 percent of the Scholar’s *awarded salary support*, and this supplementation is allowable only for *nonfederal sources of funding*. **Important:** At least 75 percent of the scholar’s effort in his/her full-time position must continue to be dedicated to the awarded career development activity regardless of the source(s) of salary funding. The fact that a department funds a portion of the scholar's salary can in no case require the scholar to redirect effort toward departmental priorities outside of the research and career development activities of the YCCI award. Institutional funds may include funds from departments or sections, philanthropic foundations, hospitals and health care systems, components of university systems, and private industry.

Finally, please see the YCCI website at [http://ycci.yale.edu](http://ycci.yale.edu), for a full description of the requirements of this award as well as an updated F.A.Q section.

For questions about the application process, please contact Nicholas Licht ([Nicholas.Licht@yale.edu](mailto:Nicholas.Licht@yale.edu)). For questions related to scientific eligibility, please contact Eugene Shapiro ([Eugene.Shapiro@yale.edu](mailto:Eugene.Shapiro@yale.edu)) or Lloyd Cantley ([Lloyd.Cantley@yale.edu](mailto:Lloyd.Cantley@yale.edu))